

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

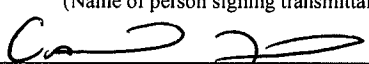
Applicant: Gary Katz  
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Filed: February 3, 2004  
For: AUTOMATED EXCHANGE FOR TRADING OF  
COMPLEX ORDERS  
Examiner: Campbell, Kellie L.  
Art Unit: 3691  
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745 Fifth Avenue  
New York, NY 10151

**CERTIFICATE OF ELECTRONIC FILING**

I hereby certify that this correspondence is being transmitted  
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March 12, 2010  
Date of Signature

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. 1.97 (b)**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicant is filing  
this Information Disclosure Statement and the accompanying form PTO-1449 along with copies  
of the prior art therein identified. So far as applicant is aware, this Information Disclosure

Statement is being filed before the mailing date of the first Office Action on the merits following the Request for Continued Examination on January 28, 2010.

The filing of this Information Disclosure Statement is not an admission that the documents identified herein constitute prior art to the present application.

Please charge any fees incurred by the filing of this document or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

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